

# "Strive to excel..."

A Quality Framework for Developing and Sustaining the arts in Wales



Noddir gan Lywodraeth Cymru Sponsored by Welsh Government

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## Inspire: Creativity and the Arts in Wales

Our vision is of a creative Wales where the arts are central to the life of the nation.

Our strategy is straightforward – it's summed up in just three words:

## Make Reach Sustain

Making art, ensuring it connects and giving it a durable legacy are indivisible. And these are the themes that underpin our work.

When we talk about **Make**, we mean the act of artistic creation. We want to foster an environment for our artists and arts organisations in which they can create their best work. Because if we **Make** well, we inspire.

If we inspire, people get it. They want it and value it. So we must inspire the people of Wales to enjoy and take part in the best that our nation has to offer. This is what allows us our ability to **Reach**, and crucially to reach further than before.

If in doing this, something of worth is created in what is made or who is embraced, then we should ask how we protect and **Sustain** these things in ways that will endure.

And all the time, the goal is Wales – the creative Country.

#### We deliver Make: Reach: Sustain by:

Make	<ol> <li>Creating the environment for the arts to flourish.</li> <li>Increasing the value of international cultural exchange to the arts in Wales</li> </ol>
Reach	<ol> <li>Finding new opportunities, ways and places for people to enjoy and take part in the arts</li> <li>Developing the creativity of children and young people</li> </ol>
Sustain	<ol> <li>Encouraging innovation, resilience and sustainability</li> <li>Protecting and growing the economic base for the arts in Wales</li> <li>Demonstrating the value of the arts</li> <li>Making the Arts Council an efficient and effective public body</li> </ol>

## Introduction

#### Our ambitions for the arts are driven by strategy.

We are committed to nurturing an environment in which artists' best work can be nurtured, promoted and sustained. These issues are explained in more detail in our Arts strategy – *Inspire: creativity and the arts.* 

We want to support the best arts that we can, and to encourage as many people as possible to enjoy and take part in creative activity. We want to see the people of Wales inspired by arts that are vibrant, compelling and engaging – arts that reach out and touch us; arts that ignite our imagination and creativity; arts that challenge us to search for a better understanding of ourselves and the world around us. Make: reach: sustain.

#### *Strive to Excel* is not a strategy

In a world awash with policies and strategies it might seem surprising that we avoid giving such a title to *Strive to Excel.* 

*Strive to Excel* is a different kind of document – part checklist, part organisational manual. For simplicity, it's organised under four headings:

- 1. Creating and developing the arts
- 2. Delivering public engagement and social outcomes
- 3. Developing and growing the economy
- 4. Embracing environmental sustainability

We're often asked how we differentiate between high performing and less successful organisations. So we created *Strive to Excel* as a quality framework – a document that sets out the attributes and characteristics that we commonly see in the most successful organisations.

Each of the four sections begins with a brief portrait of a successful organisation. If you are able to tick a significant proportion of the measures set out in the following pages, you'll be well on the way to having an effective and efficient organisation. But remember – it's not a 'one size fits all'. If you're a major national organisation with a multi-million pound turnover, we'd expect you to be able to demonstrate your competence against most of the measures in this document. If you're a smaller, more local organisation, some of what follows will be more relevant than others. It's up to you to take what's applicable to your organisation, and your particular circumstances.

#### Meeting the Welsh Government's expectations

We're passionate about the arts. But we're also the stewards of public funds.

The Welsh Government's expectations of us are set out in an annual Remit Letter. The Welsh Government's priorities are encouraging active participation in the arts, developing wider use of digital technology, and securing greater sustainability through maximising the return on public funding investment.

In particular, the Welsh Government has asked us to:

- promote the arts and creative learning by delivering our Young Creators strategy, and implementing the Creative Learning through the Arts plan
- develop programmes of activity that tackle poverty by creating more opportunities to introduce people who currently feel excluded from the arts to the benefits of creative activity
- enhance core public funding by working with funded organisations to increase earned income and reduce the level of dependency on public funding
- undertake an Investment Review process, ensuring that the Arts Council continues to support excellent, high-performing organisations
- safeguard the arts infrastructure in Wales by working with local government to develop sustainable models for arts services and funding
- encourage economic development by supporting, where appropriate, the Welsh Government's aims for economic development

We need to feel confident that the money entrusted to us by the taxpayer, and provided to us by the Welsh Government, delivers the best and most cost-effective outcomes that we can achieve.

#### Sustainability – a fundamental organising principle

We share the Welsh Government's intention that Sustainability should be the guiding principle that underpins public funding, investment and service delivery.

It's a new way of thinking, planning and working. For us, sustainable development adopts the same broad view as the Welsh Government's legislation around the Well-being of Future Generations. This means taking the broad view of what makes Wales a healthy and prosperous country by enhancing the economic, social and environmental wellbeing of people and communities. If we get this right, we help achieve a better quality of life for our own and future generations.

Promoting a consistent commitment to the principle of Sustainability isn't the same as saying that everything has to be done in an identical fashion. Different organisations, at different

stages of development, will relate in different ways to the themes set out here. However, we're interested to see how organisations find their individual way of doing things, especially where a skilful or deft approach can be shared and spread.

#### Making judgements, providing the evidence

*Strive to Excel* is a framework for quality and sustainable development that helps us – and the organisations that we fund – to think about and discuss, their work. If we say we want to support the best and the most sustainable activity, then we need to explain how we will judge this.

*Strive to Excel* is intended to offer a single, consistent template for monitoring and assessment. We try and explain the range of characteristics that we consider when looking at how well an organisation is succeeding. It's the yardstick that we apply to all of the organisations we fund, large and small, international and more local – but it's a yardstick that's finely calibrated and capable of measuring the important distinctions where they exist. After all, the characteristics described in *Strive to Excel* will be realised in different ways according to an organisation's size and level of resources.

#### Making choices

There are a growing number of arts organisations that want to develop their work in Wales. This is enormously exciting. But it does mean that we face increasingly difficult decisions about how we allocate the funds at our disposal.

That's fine – it's the challenge, and duty, that the public expects us to assume. However, the organisations that we fund need to understand the choices that we make and the criteria that shape and inform the funding decisions that our Council takes.

#### So how will we use Strive to Excel?

Quality can sometimes be an elusive and contested concept. The arts change and develop, and so too does the consensus on how this work should be supported and sustained. *Strive to Excel* is not, then, a static or fixed document. We'll review it, develop it and refine it over time, working closely with the organisations that we support.

For 2015, however, this framework takes on a particular importance. Over the coming year we're taking a detailed, and critical look at the funds we invest each year in our key organisations – what we're calling our *Investment Review 2015*.

Our task through the *Investment Review* is to agree during 2015 a 'portfolio' of revenuefunded organisations that will be at the heart of the Arts Council's future strategy to develop the arts in Wales. Our aim is to reach the end of this process with a portfolio of RFOs who are artistically vibrant, financially durable and with a level of investment that is sustainable given the current economic context.

As a benchmark, *Strive to Excel* will underpin our decision-making process, so we'd expect organisations' applications and business plans to take appropriate account of the issues that are set out in our arts strategies.

Fulfilling the characteristics set out in this framework isn't itself a guarantee of future funding. But an organisation is unlikely to present a persuasive case for investment unless it can provide credible evidence that it is measuring itself against the majority of characteristics that we're looking for. In our view, if an organisation is achieving excellence in its artistic activity and demonstrating an intelligent commitment to sustainability, it's more than likely that all aspects of its operation will be being delivered to a similarly high standard.

Our *Investment Review* takes place during one of the most challenging times in recent memory for the publicly funded arts. We hope that you will find that *Strive to Excel* provides a compass with which to navigate as you chart your way towards you future destination.

## 1. Creating and developing the Arts

"... We take delight in virtuosity, we admire personal expression and novelty, we enjoy intellectual challenges that give pleasure in being mastered, and we benefit immeasurably from the sense of communion and intimacy these experiences bring us. This is art: then, now and always."

(Brian Morton reviewing *The Art Instinct* by Denis Dutton, OUP 2009)

The creation of art is fundamental to our work.

Each organisation that we fund delivers its work in its own, individual manner. However, at the heart of every organisation is the creative spark, that obsession that drives artistic mission and vision. We're keen to understand better how organisations formulate, shape and realise their artistic ambitions. We're also interested to look at how organisations engage with their public, and how this affects the creative choices that they make.

The successful creation of art depends on artistic leadership, a vision that transcends the ordinary or the commonplace, the confidence to take risks and reach for dimensions of expression that lie beyond the reach of most of us. But risk and ambition have to be managed.

In our opinion, a confident and effective arts organisation will embrace the honest and rigorous self-assessment of it work. It will be brave and take risks. It will have a clear vision of what it's trying to achieve, and will actively elicit feedback and critical review to see how well it's doing. It will use this intelligence to shape and inform future activity. It will be a leader amongst its peers, but flexible enough to accept the challenge of building challenging and innovative creative partnerships where they deliver benefit to the public and to the organisation itself

Activity	Characteristics	Indicators of success
Artistic vision and leadership	Organisation has a strong, thought through artistic vision which it communicates clearly	Business Plan articulates a clear and compelling artistic vision driven by creative excellence
	internally and externally	Artistic policy is regularly and actively debated by the Board
		Artistic mission is understood and 'owned' throughout the organisation
		Artistic vision is nurtured and developed – there are imaginative strategies in place for key personnel to refresh and develop their knowledge and skills
		Clear sense of how organisation's work helps contribute to the development of the arts in Wales
	Clear relationship between policy and its enactment	Board is able to present an articulate explanation of how policy is translated into activity – able to explain how programming choices are made
		Organisation seeks real involvement with artists in its thinking and practice
	Open, self-aware and self-critical	Processes are in place to monitor, assess and review work
	sen-childa	Open to, and keen to receive, different types of feedback
		Learns from successes and from activity which works less well
		Regular reports and assessments of artistic activity presented to the Board

Activity	Characteristics	Indicators of success
Artistic programme	Exciting, compelling and high quality programme of activity	Positive responses from audiences, participants and customers Activity which exceeds the expectations of audiences, participants or customers
		Positive reports from Arts Council officers, advisers and peers Critical acclaim from respected media and trade journals
	Innovative and imaginative approaches to creating and	Original processes for making or devising work
	sustaining arts activity	Well-founded, imaginative and reasoned approaches to developing programmes, including a clear approach to taking and managing risks
Technical Attainment	Activity is executed to a high quality standard	The use of appropriately skilled artists, designers, makers and managers
		Evidence of work that has been thoughtfully conceived, prepared, produced or presented/exhibited
Equalities	Committed to ensuring that programme and activities accessible to the widest possible	Commitment to equality reflected in the policies and practices of the organisation
	audience. Equality of opportunity and inclusion are actively promoted	Organisation can point to specific examples of work that has successfully rolled back boundaries to inclusion
		Organisation has informed understanding of – and is acting on – current legislation and the requirement to acknowledge 'protected characteristics'

Activity	Characteristics	Indicators of success
Reputation	Strong market for the organisation's work	Evidence that the work (or skills) of the company are respected, sought after and are in demand
	Peer acknowledgement of the quality of the work	Awards and prizes
	Partnerships within and beyond Wales	Local, national and international partnerships Invitations to participate in national and international arenas
Welsh language	Progressive and forward-looking commitment to promoting the Welsh	A clear statement of policy relating to the use and promotion of Welsh language activity, if applicable set out in a specific Welsh Language scheme
	Government's commitment to a bi-lingual Wales	Evidence that the Arts Council's expectations in respect of Welsh Language requirements and accepted and acted upon
		Bilingual information and publicity sets tone as an organisation that wants to reach out to broader audiences

### 2. Developing public engagement and social outcomes

"People's access to the arts as consumers, participants and producers is the key to their ability to participate autonomously in democratic society... What participation in the arts, and only participation in the arts, can do is to widen the range of people who engage with, question, challenge and re-imagine and revive our cultural life"

(Francois Matarasso: Art for Our Sake, 2005)

High quality artistic work is not created in a vacuum. We want as many people as possible to experience and enjoy the arts. We want to increase active participation and attendance across the arts as a whole, and also in the organisations we fund. The strength and depth of this engagement – and the benefits that it delivers – will play an important part in the sustainability of an organisation's activities. An organisation that has a clear understanding of its 'community', and which has strong, well-grounded roots in that community, is more likely to be durable and resilient.

We adopt the broadest possible definition of engagement. We want to see the dismantling of barriers that impede people's access to the arts, whether cultural, social or economic. Breaking down these barriers is one of our defining priorities. We aspire to a society that embraces equality and celebrates difference, wherever it's found in race, gender, sexuality, age, language, disability or poverty. We expect those that we fund to share this aspiration.

One of Wales's enduring challenges is the persistent level of poverty. This manifests itself in many ways, but we are especially keen to address cultural poverty and to supporting those arts activities that help address poverty of aspiration and poverty of opportunity. So we place a particular premium on how organisations interact with those coming new to art or those who for whatever reason are, or have been, traditionally excluded from the arts.

Through early childhood to young adulthood and beyond, we believe that everyone should be able to engage with the highest quality of arts and creative experience. This will be affected by how an organisation markets and communicates its work, how it develops and delivers participatory activities, how it develops its 'reach' – opening up the experience of art in creating interest and deepening people's engagement.

This starts at the earliest age. We want to see more children and young people across Wales actively involved in high quality creative activity. Whatever the circumstances that they face, we want all young people to have the opportunity to develop their talents (including to an advanced level of attainment). A key priority will be working with the Welsh Government on the implementation of the Arts and Creative Learning Plan.

Characteristics	Indicators of success
Develops policies designed to extend reach and engagement	Organisation demonstrates clear understanding of who its 'community' is
	Can articulate the benefits of the organisation's activities and their contribution to community well-being
Audience data and knowledge is regularly used to inform	Sets specific targets
overall planning and development	Has identified and analysed the barriers to engagement. Evidence of use of reports and analysis
	Commissioned research
	Audience/participant/customer surveys and feedback
	Board discussion of target audiences and how to reach them
Specific audience/participation development objectives	Audience/Participation development strategy delivered
Clearly articulated policies that explain how artistic programming develops target	Targets or indicators for participation/engagement that are either met or exceeded
audiences/encourages participation/serves customers	High-rating audience/customer surveys
with practices to match	The range of activities undertaken by the organisation to further engagement
	Develops policies designed to extend reach and engagement Audience data and knowledge is regularly used to inform overall planning and development Specific audience/participation development objectives Clearly articulated policies that explain how artistic programming develops target audiences/encourages participation/serves customers

Activity	Characteristics	Indicators of success
Inclusion	Organisation has progressive and active strategies to encourage equal opportunities	Evidence that new and more diverse audiences are being reached
Measuring Impact	Organisation develops ways of gauging the impact of its work over short, medium and longer term.	Evidence that the organisation's future planning is informed by such findings
	Organisation uses measuring models	Can quantify the impact of its programmes of work
Education and lifelong learning	Clear programmes of work that promote learning, training and	Strong demand for educational, training and development activities
	the development of skills	Appropriately skilled programme leaders
		Partnerships with specialist providers, (schools, colleges and universities)
Marketing	Clear strategies are in place to market, promote and develop	Marketing issues discussed at the Board, with target markets/groups identified
	the organisation's activities	Clear marketing plans in place and resourced sufficiently (staff and budgets)
		Processes in place for monitoring and review

Activity	Characteristics	Indicators of success
Public relations	Strategies in place to develop relationships with partners and stakeholders	Stakeholders and partners actively engaged Well networked organisation
Communications	The organisation is constantly developing its engagement with public through the use of an appropriate range of communication tools	Communications strategy in place Imaginative and innovative use of information communication technology
Contributing to the promotion and recognition of the Welsh Language	Company has a Welsh Language policy	Action Plan with defined targets

## 3. Developing and Growing the Economy

"Investment in culture is precisely that. It should not be confused with the sort of subsidy often handed out in the past to ailing commercial enterprises as 'corporate welfare. The cultural sector is not a failing sector like banks or the automotive industry. While it will always require a call on public revenue, its returns are sustainable, long-term and consistent."

(Simon Mundy: Culture - a Tool for Reversing Recession, 2009)

The arts are important in their own right. But we also know that the creative and cultural industries are a vital engine for Wales' economy. They contribute directly in terms of job and the generation of wealth through the creation, distribution and retail of goods and services.

The Arts are busy adding value in a variety of social and economic contexts: promoting health and well-being, adding value in education and learning, offering arts based training for other sectors, being a cornerstone of social and physical regeneration and prompting inward investment. Such activity has a clear economic benefit.

The public rightly demands that the individuals and organisations that they finance are efficient and cost effective. So we expect those who we work with to get the basics right and to ensure that they manage their affairs in a straightforward and business-like way. Public funding is not an entitlement – it has to be earned.

We expect the key organisations that we fund to play a leadership role in terms of innovation, entrepreneurship and audience development. We expect them to be well managed and governed, and we will be rigorous in assessing whether this is the case. We also expect them to recognise the importance of value for money, making sure that public funding is an effective lever to drawn in other resources for the arts.

Artistic and economic growth is unlikely to be achieved in the absence of strong management and good governance. Critical to the success and resilience of any organisation is a strong, engaged and diligent Board that includes a range of representative expertise. At its best, the Board will set the strategic direction of the company, support and challenge the executive staff in the delivery of the company's goals, and monitor financial and artistic performance.

Whilst Board structure most clearly relates to independent organisations, we're conscious that other governance structures will often apply within a local authority or University. In the context of this framework, organisations working in such an environment need to reflect how their governance is determined and how it strategically relates to and uses its "Management Board".

Activity	Characteristics	Indicators of success
Income generation	Public investment helps 'lever' additional income from other sources	Lower than average ratio of public subsidy to earned income Organisation secures income from business sponsors, trusts and foundations
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	Organisation has an entrepreneurial approach to generating income	Organisation capitalises on all appropriate opportunities to exploit commercial income
	Collaborates with others to extend the life of existing	Co-commissioning, co-production, touring
	'products' and services, or to create new ones	Develops shared services and joint activities
Exploitation of products and	Understands how digital technology can offer new	Can identify and define the organisation's Intellectual Property
services	opportunities to create and distribute the arts	Devices income through the use of digital technology and online platforms
	Makes use of available opportunities for tax relief (where this is appropriate and applicable)	Is generating income through Government tax relief schemes

Activity	Characteristics	Indicators of success
International activity	Has an International strategy (where this is applicable and	Organisation can explain why it engages in international activity
	appropriate)	International activity delivers cultural, financial and/or reputational benefits
Sharing and collaboration	Promotes the use of shared services and joint working	Achieves 'bottom-line' savings through partnership and collaboration
Employment	Organisation is committed to developing new employment opportunities	Increased employment
	Organisation is committed to appropriate remuneration, terms and conditions	Clear and progressive employment policies
	Organisation is committed to training and developing its staff	Organisation has a developmental plan for its staff
		Organisation has attained Investors in People accreditation
Skills and training	Organisation has a Workforce Development strategy	Provides opportunities for apprenticeships, internships and traineeships

Activity	Characteristics	Indicators of success
Contribution to the local economy	The organisation's activities have positive impact on local businesses	Evidence-based research demonstrating positive economic impact
Working through the arts to develop opportunities in other contexts	Organisation understands how its work can have relevance in a range of different economic, cultural and social settings	Evidence of high quality activity in areas such as arts and young people, arts and health, creative industries
Board	Active and engaged Board	Composition of the Board is commensurate to the task
		Board takes appropriate professional advice where necessary
	Clear and transparent processes for the recruitment	Clear terms of reference for the Board and any sub-committees
	and development of the Board	Recruitment informed by regular analysis of skills needed
	Board have induction and regular updates	Established Board training and development programme

Activity	Characteristics	Indicators of success
Planning	Board is actively involved in debating and developing the future direction of the organisation	A Business Plan that's regularly monitored, reviewed and updated
	Board has a clear understanding of its risk 'appetite'	Organisation has a risk register that is regularly reviewed and updated
Financial control	Board has a firm grasp of the organisation's financial performance	Organisation produces budgets, management accounts, cash flow forecasts Board acts promptly to address unforeseen circumstances
Procurement	Organisation has a strong commitment to achieving value for money efficiencies	Achieves 'economy of scale' and efficiency savings
	The company contracts work within appropriate, agreed frameworks and to industry standards	Organisation recognised as an exemplar

Activity	Characteristics	Indicators of success
Management and staffing	Organisation has open and equitable recruitment practices	Strong recruitment and retention of staff
	Organisation has progressive employment policies	Comprehensive Staff Handbook
	The organisation has job planning and appraisal processes	Staff have opportunities for training and development
Compliance	Organisation understands and fulfils its statutory reporting obligations, and is compliant with all relevant legislation and where appropriate all charity regulations	Annual accounts and statutory returns are filed in a timely fashion Systems in place to provide assurance on key compliance issues
	Equal opportunities	Organisation has progressive policies
Relationships with stakeholders	Organisation meets regularly with funders and stakeholders	Provision of good quality information
		Attendance at Board meetings and events

## 4. Embracing Environmental Sustainability

"If the scientists are right, we're living through the biggest thing that's happened since human civilisation emerged. One species, ours, has by itself in the course of a couple of generations managed to powerfully raise the temperature of a whole planet, to knock its most basic systems out of kilter. But oddly, though we know about it, we don't know about it. It hasn't registered in our gut; it isn't part of our culture. Where are the books? The poems? The plays? The goddamn operas?"

(Bill McKibben Open Democracy - 2005)

The world we inhabit is changing, and changing fast. The challenges of environmental climate change, of intercultural dialogue, of the questioning of material values, of economic migration, are all phenomena of our times which will have need of the arts to be understood or acted upon.

Climate change and environmental protection are amongst the most urgent issues of our time. We want to help the arts sectors in understanding and addressing these issues, and to ensure that we demonstrate active leadership in helping to shape the cultural and creative industries for the future.

Organisations who understand environmental sustainability accept that they must plan and deliver their programmes of work having first considered the environmental impact of doing so. A concern for environmental matters need not be a straight-jacket or a constraint. However, the environmentally mature organisation is likely to have weighed up the choices and to have developed their programme of work accordingly.

Activity	Characteristics	Indicators of success
Policy	Environmental sustainability integrated into organisation's	Signatory to Welsh Government Sustainable Development Charter
	policies	Has secured Green Dragon accreditation or other recognised industry standards
	Measures, and reports on, its progress	Carbon footprint is reduced
		Reduced consumption has positive impact on bottom line
Programming	Accessibility	Ensuring that venues and locations are publicly accessible
	Events and performances	Ensuring, where applicable, that environmental standards are met
	Marketing	Advice to audiences/participants on how to travel to (or engage with) the organisation's activities on a sustainable basis
Procurement	Goods and services are procured as locally as possible	Carbon footprint is reduced
		Reduced consumption has positive impact on bottom line

Activity	Characteristics	Indicators of success
Operational management	Recycling is actively promoted	Carbon footprint is reduced
	Energy efficiency is monitored and reviewed	Reduced consumption has positive impact on bottom line
	Collaborative and shared working reduces environmental impact	Partnerships and relationships are in operation
	Environmental implications of travel and subsistence are monitored and reviewed	Green and environmentally friendly work practices are evident



## Further information

Compliance with all relevant legislation and charities regulations (where relevant) is expected. In particular, the obligations placed on directors by the various Companies Acts and Insolvency Acts, and on Trustees by the Trustees Act, must be adhered to.

#### Useful links:

Companies House	www.companieshouse.gov.uk
Charity Commission	www.charitycommission.gov.uk
Governance and leadership	www.ncvo-vol.org.uk/governanceandleadership
Cynnal Cymru/ Sustainable Wales	www.cynnalcymru.com